



PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas B. Carlson

Serial No.: 09/788,334

Filed: February 16, 2001

For: PLANTS AND SEEDS OF CORN
VARIETY I015011

Group Art Unit: 1638

Examiner: Unknown

Atty. Dkt. No.: DEKA:282US/MBW

CERTIFICATE OF MAILING
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below.

May 21, 2001

Date

Robert E. Hanson

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated April 25, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Thomas B. Carlson;
- (b) A Power of Attorney on behalf of DEKALB GENETICS CORPORATION;
- (c) Our check in the amount of \$1438.00 to cover the basic filing fee (\$710.00 - utility large entity); surcharge for late filing (\$130.00) additional claims fee (\$598.00); and

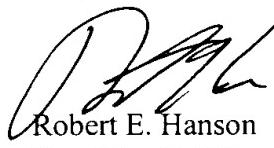
(d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under
37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to DEKALB GENETICS CORPORATION and a check for \$40.00 are
being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.
§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an
overpayment be included herein, the Commissioner is authorized to deduct or credit said fees
from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10026983/MBW.

Please date stamp and return the accompanying postcard to evidence receipt of these
documents.

Respectfully submitted,



Robert E. Hanson
Reg. No. 42,628
Agent for Applicant

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: May 21, 2001

O I P E
MAY 23 2001

PATENT TRADES
TELEPHONE: 512/474-5200
FACSIMILE: 512/474-5201

WRITERS INTERNET ADDRESS:
RHANSON@FULBRIGHT.COM

WRITERS DIRECT DIAL NUMBER:
512/536-3085

FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TEXAS 78701

HOUSTON
WASHINGTON, D.C.
AUSTIN
SAN ANTONIO
DALLAS
NEW YORK
LOS ANGELES
MINNEAPOLIS
LONDON
HONG KONG

May 21, 2001

FILE: DEKA:2821US

CERTIFICATE OF MAILING
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

May 21, 2001

Date

Robert E. Hanson

BOX MISSING PARTS

Commissioner for Patents
Washington, DC 20231

RE: SN 09/788,334 "PLANTS AND SEEDS OF CORN VARIETY I015011" - Thomas B. Carlson (Client Reference: [34-63(52327)])

Sir:

Please find enclosed:

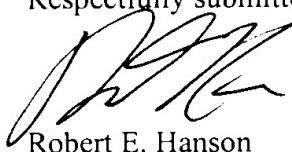
- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed April 25, 2001;
- (2) Declaration executed by Thomas B. Carlson;
- (3) Power of Attorney;
- (4) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (5) Information Disclosure Statement;
- (6) Form PTO-1449;
- (7) Copies of references A1-A24, B1, and C1-C38;
- (8) Check in the amount of \$1438.00; and

Commissioner for Patents
May 21, 2001
Page 2

- (9) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10026983/MBW.

Respectfully submitted,



Robert E. Hanson
Agent for Applicant
Reg. No. 42,628

REH/mar
Encl: as noted



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/788,334 | 02/16/2001 | Thomas B. Carlson | DEKA:282US |

CONFIRMATION NO. 6107

FORMALITIES LETTER



'OC000000006006330'

Robert E. Hanson
FULBRIGHT & JAWORSKI L.L.P.
A REGISTERED LIMITED LIABILITY PARTNERSHIP
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TX 78701

Date Mailed: 04/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$299.
 - \$99 for 11 total claims over 20.
 - \$200 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 719.**

A copy of this notice MUST be returned with the reply.